

Attorney's Docket No. 5817-70

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Harrington et al.
Appl. No.: 09/515,124
Filed: February 27, 2000
For: COMPOSITIONS AND METHODS FOR NON-TARGETED
ACTIVATION OF ENDOGENOUS GENES

Group Art Unit: Not Assigned
Examiner: Not Assigned



April 28, 2000

Assistant Commissioner for Patents
Washington, DC 20231

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

Kathryn L. Coulter

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Registration No. 45,889

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| ALSTON & BIRD LLP Post Office Drawer 34009 Charlotte, NC 28234-4009 Tel Raleigh Office (919) 420-2200 Fax Raleigh Office (919) 420-2260 | <p style="text-align: center;"><u>CERTIFICATE OF MAILING</u></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, DC 20231, on April 28, 2000.</p> <p style="text-align: center;"><i>Nora C. Martinez</i> Nora C. Martinez</p> |
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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Complete if Known | | | | |
| | | | | Application Number | 09/515,124 | | | |
| | | | | Filing Date | February 27, 2000 | | | |
| | | | | First Named Inventor | Harrington | | | |
| | | | | Group Art Unit | Not Assigned | | | |
| | | | | Examiner Name | Not Assigned | | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 5817-70 | | | |
| U. S. PATENT DOCUMENTS | | | | | | | | |
| Examiner Initials* | Cite No. ¹ | <u>U.S. Patent Document</u> Number Kind Code ² (if known) | | Name of Patentee or Applicant Of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| Examiner Initials | Cite No. | <u>Foreign Patent Document</u> Office ³ Number ⁴ Kind Code (if known) ⁵ | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | AM4 | WIPO | WO 99/50426 | | Lexicon Genetics Incorporated | 10/07/99 | | |
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| NON PATENT LITERATURE DOCUMENTS | | | | | | | | |
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | | T |
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| Examiner Signature | | Date Considered | |
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RTA01/2077230v1

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.

